

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of	)
NICK CIAVARELLA et al.	) CERTIFICATE OF MAILING
Serial No. 10/693,567	) I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents,
Filed October 25, 2003	P. O. Box 1450, Alexandria, VA 22313-1450, on this  )
For UNIVERSAL COLLAR	Sherry L. Leonard

### TRANSMITTAL SHEET

Enclosed are the following documents:

Information Disclosure Statement (w/attached Certificate of Mailing)

Form PTO-1449

Return Receipt Postcard

### **AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

The Director is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 18-0987.

Respectfully submitted,

Shannon V. McCue, Reg. No. 42,859

Renner, Kenner, Greive, Bobak, Taylor & Weber

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(330) 376-1242

January 9, 2004

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### 1NFORMATION DISCLOSURE STATEMENT 37 CFR §§1.97, 1.98

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.97, relating to the filing of an Information Disclosure Statement, the Applicant hereby submits the following in compliance with the duty of disclosure as set forth in 37 CFR §1.56.

Information or art known to the Applicant and having an extent of relevance to the present application has been listed on PTO Form 1449 attached hereto. It includes ONE (1) United States patent. The Applicant has employed PTO Form 1449 for the purposes of convenience of the Office and the Examiner. A copy of this reference has not been enclosed because the patent application was filed after June 30, 2003.

No representation is made that the information is non-cumulative, nor that the information represents the only or the best information. The Applicant does not admit that any of the information he has provided is necessarily prior to his invention but rather that it is information of which he is aware and that he believes should be provided to the Office in fulfillment of his duty of disclosure. Any question that may arise regarding priority of a specific reference shall be resolved during prosecution.

It should be evident that none of the art provided herein accomplishes the objects of the present invention. The Applicant believes that the claims of the subject application are GOJ 03031

patentably distinct over the art of record. In the event the Examiner would care to discuss any of the disclosed art more specifically, the undersigned attorney would welcome a telephone call.

Respectfully submitted,

Shannon V. McCue, Reg. No. 42,859

Renner, Kenner, Greive, Bobak, Taylor & Weber

First National Tower

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Akron, Ohio 44308-1456 Telephone: (330) 376-1242

Attorney for Applicant (s)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known		
Application Number	10/693,567	
Filing Date	October 25, 2003	
First Named Inventor	Ciavarella et al.	
Art Unit		
Examiner Name		
Attorney Docket Number	GO 1 03031	

				T DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>	
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Examiner	•	Date	T	
Signature		Considered		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.